

**SURVEY OF SEXUAL VICTIMIZATION, 2019**
Locally or Privately-Operated Juvenile Facilities
Summary Form

OMB No. 1121-0292: Approval Expires 09/30/2021

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
U.S. CENSUS BUREAU**DATA SUPPLIED BY**

Name

REGAN BULLARD

Title

PREA COORDINATOR

OFFICIAL ADDRESS

Number and street or P.O. Box/Route Number

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LUBBOCK

State

TX

ZIP Code

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Area code

806

Number

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Area Code

806

Number

775-7965

E-MAIL ADDRESS

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(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

All juvenile residential placement facilities operated or administered by a local government and all privately owned or operated facilities that are used to house juveniles and youthful offenders, regardless of age or reason for placement.

- **INCLUDE** locally-operated juvenile residential facilities; privately owned or operated juvenile residential facilities; detention centers, training schools, long-term secure facilities; reception or diagnostic centers; group homes or halfway houses; boot camps; ranches; forestry camps, wilderness or marine programs, or farms; runaway or homeless shelters; and residential treatment centers for juveniles.
- **EXCLUDE** State operated juvenile residential facilities. (These facilities will be contacted directly for data on sexual victimization.)

What persons and incidents are included in this data collection?

Juveniles and youthful offenders, regardless of age or reason for placement, under your custody between January 1, 2019, and December 31, 2019.

- **INCLUDE** incidents involving juveniles or youthful offenders under the authority, custody, or care of your confinement or community-based facilities or staff.
- **EXCLUDE** incidents involving juveniles or youthful offenders held in facilities operated by your State juvenile system.

Reporting instructions:

- Please complete the entire SSV-6 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- Section I: when exact numeric answers are not available, provide estimates and mark (X) the box beside each figure.
- Sections II, III, and V: if the answer to a questions "none" or "zero," write "0" or mark the box (X) provided.

Substantiated incidents of sexual violence:

- Please complete an Incident Form (Juvenile, SSV-1J) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call the **U.S. Census Bureau** toll-free at **1-888-369-3613, option 2**, or e-mail **govs.ssv@census.gov**
- **Please return your completed summary and substantiated incident forms by November 30, 2020.**
- **You may complete these forms online (see enclosed instructions). Or if you prefer, you may return these forms by mail or fax.**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE): 1-888-262-3974**

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

DEFINITIONS

JUVENILES and YOUTHFUL OFFENDERS

- Any person under the custody or care of a juvenile residential facility owned or operated by a local government or private agency.

FACILITIES

INCLUDE all juvenile residential placement facilities operated or administered by a local government and all privately owned or operated facilities that are used to house juveniles and youthful offenders charged with or court-adjudicated for:

- Any offense that is illegal for both adults and juveniles;

OR

- An offense that is ILLEGAL in your State for juveniles, but not for adults (running away, truancy, incorrigibility, curfew violations, and liquor violations).

EXCLUDE all State-operated facilities and locally or privately-operated facilities used ONLY to house juveniles for:

- Non-criminal behavior (neglect, abuse, abandonment, or dependency);

OR

- Being Persons in Need of Services (PINS) or Children in Need of Services (CHINS) who have assigned beds for reasons other than offenses.

Section I - GENERAL INFORMATION

1. Is this facility owned by a

- 01 ☐ Private agency
02 ☐ Native American Tribal Government
03 ☐ State
04 ☒ County
05 ☐ Local or municipal government
06 ☐ Other Specify

2. Is this facility operated by a

- 01 ☐ Private agency
02 ☐ Native American Tribal Government
03 ☐ State
04 ☒ County
05 ☐ Local or municipal government
06 ☐ Other Specify

3. On December 31, 2019, how many persons held in this facility were

a. Males 56 ☐

b. Females 15 ☐

71 ☐

4. On December 31, 2019, how many persons held in this facility were

a. Age 17 or younger 71 ☐

b. Age 18 to 20 ☐

c. Age 21 or older ☐

d. TOTAL (Sum of Items 4a through 4c should equal Item 3c) ☐

- Count all persons held in the facility regardless of age or reason for placement. Include persons who were temporarily away but had assigned beds on December 31, 2019.

5. Between January 1, 2019, and December 31, 2019, how many persons were admitted to or discharged from this facility?

a. TOTAL number admitted 641 ☐

b. TOTAL number discharged 644 ☐

- Include all persons admitted to this facility by a formal legal document, by the authority of the courts, or by some other official agency.
- Include all persons discharged from this facility after a period of confinement including sentence completion, pretrial releases, transfers to adult jurisdictions or to other States, and deaths.
- Exclude admissions and discharges resulting from returns from escape, administrative transfers to other juvenile facilities, or temporary release including work/school release, medical appointments, other treatment facilities, or court appearances.

Section II – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

DEFINITIONS

The survey utilizes the definition of "sexual abuse" as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). Attempted nonconsensual sexual acts are included if recorded by the facility. For purposes of SSV, sexual abuse is disaggregated into three categories of youth-on-youth sexual victimization. These categories are:

NONCONSENSUAL SEXUAL ACTS

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;

OR

- Contact between the mouth and the penis, vulva, or anus;

OR

- Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.
- EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

6. Does your facility record allegations of youth-on-youth NONCONSENSUAL SEXUAL ACTS?

- 01 ☒ Yes → a. Do you record all reported occurrences, or only substantiated ones?

01 ☒ All

02 ☐ Substantiated only

- b. Do you record attempted NONCONSENSUAL SEXUAL ACTS or only completed ones?

01 ☐ Both attempted and completed

02 ☒ Completed only

- 02 ☐ No → Please provide the definition used by your facility for youth-on-youth NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete Items 7 and 8.

7. Between January 1, 2019 and December 31, 2019, how many allegations of youth-on-youth NONCONSENSUAL SEXUAL ACTS were reported?

Number reported 0 ☒ None

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

8. Of the allegations reported in Item 7, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated ☐ None

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated ☐ None

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded ☐ None

- The investigation determined that the event did NOT occur.

d. Investigation ongoing ☐ None

- Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items 8a through 8d) 0 ☒ None

- The total should equal the number reported in Item 7.

9. Does your facility record allegations of youth-on-youth ABUSIVE SEXUAL CONTACT?
(See definitions on page 3.)

01 ☒ Yes → **Can these be counted separately from allegations of NONCONSENSUAL SEXUAL ACTS?**

01 ☒ Yes

02 ☐ No → Skip to Item 12.

02 ☐ No → Please provide an explanation in the space below and then skip to Item 12.

10. Between January 1, 2019, and December 31, 2019, how many allegations of youth-on-youth ABUSIVE SEXUAL CONTACT were reported?

Number reported 0 ☒ None

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

11. Of the allegations reported in Item 10, how many were (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated ☐ None

b. Unsubstantiated ☐ None

c. Unfounded ☐ None

d. Investigation ongoing ☐ None

e. **TOTAL** (Sum of Items 11a through 11d) 0 ☒ None

- The total should equal the number reported in Item 10.

12. Does your facility record allegations of youth-on-youth SEXUAL HARASSMENT?
(See definitions on page 3.)

01 ☒ Yes → **Do you record all reported allegations or only substantiated ones?**

01 ☒ All

02 ☐ Substantiated only

02 ☐ No → Please provide an explanation in the space below and then skip to Section III.

13. Between January 1, 2019, and December 31, 2019, how many allegations of youth-on-youth SEXUAL HARASSMENT were reported?

Number reported 0 ☒ None

- If an allegation involved multiple victims or youth perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

14. Of the allegations reported in Item 13, how many were (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated ☐ None

b. Unsubstantiated ☐ None

c. Unfounded ☐ None

d. Investigation ongoing ☐ None

e. **TOTAL** (Sum of Items 14a through 14d) 0 ☒ None

- The total should equal the number reported in Item 13.

Section III – STAFF-ON-YOUTH SEXUAL ABUSE

DEFINITIONS

The survey utilizes the definition of "sexual abuse" by a staff member, contractor or volunteer as provided by 28 C.F.R. §115.6 in the *National Standards to Prevent, Detect, and Respond to Prison Rape* (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into two categories of staff-on-youth sexual abuse. These categories are:

STAFF SEXUAL MISCONDUCT

Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

OR

- Completed, attempted, threatened, or requested sexual acts;

OR

- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include—

- Demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

- Repeated profane or obscene language or gestures.

15. Does your facility record allegations of STAFF SEXUAL MISCONDUCT?

01 ☒ Yes → Do you record all reported occurrences, or only substantiated ones?

01 ☒ All

02 ☐ Substantiated only

02 ☐ No → Please provide an explanation in the space below and then skip to Item 18.

16. Between January 1, 2019, and December 31, 2019, how many allegations of STAFF SEXUAL MISCONDUCT were reported?

Number reported 1 ☐ None

- If an allegation involved multiple victimizations, count only once.

17. Of the allegations reported in Item 16, how many were (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated ☐ None

b. Unsubstantiated 1 ☐ None

c. Unfounded ☐ None

d. Investigation ongoing .. ☐ None

e. TOTAL (Sum of Items 17a through 17d) 1 ☐ None

- The total should equal the number reported in Item 16.

18. Does your facility record allegations of STAFF SEXUAL HARASSMENT ? (See definitions on page 5.)

01 ☒ Yes → **Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?**

01 ☒ Yes

02 ☐ No → Skip to Item 21

02 ☐ No → Please provide an explanation in the space below and then skip to Item 21.

19. Between January 1, 2019, and December 31, 2019, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported 1 ☐ None

- If an allegation involved multiple victims or staff, count only once.

20. Of the allegations reported in Item 19, how many were (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated 1 ☐ None

b. Unsubstantiated ☐ None

c. Unfounded ☐ None

d. Investigation ongoing ☐ None

e. TOTAL (Sum of Items 20a through 20d) 1 ☐ None

- The total should equal the number reported in Item 19.

Section IV - TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION

21. What is the total number of substantiated incidents reported in Items 8a, 11a, 14a, 17a, and 20a.

Total substantiated incidents

1 ☐ None

→ Please complete a Substantiated Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

NOTES

FORM **SSV-IJ**
(4-14-2020)**SURVEY OF SEXUAL VICTIMIZATION, 2019**
Substantiated Incident Form (Juvenile)U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
and ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
U.S. CENSUS BUREAU

Incident Number ____ out of ____

1. On what date did the incident occur?
(If more than one date, report the most recent.)Month Day Year
09 03 2019**2. In what facility did the incident occur?**

Name

LUBBOCK COUNTY JUVENILE JUSTICE CENTER

City/Place

LUBBOCK, TX

3. Where did the incident occur? (Mark (X)) all that apply.)

- 01 ☐ In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
- 02 ☐ In the perpetrator's cell or room
- 03 ☐ In a dormitory or other multiple housing unit
- 04 ☒ In a common area (e.g., shower, dayroom, bathroom)
- 05 ☐ In a temporary holding cell or admissions area within the facility
- 06 ☐ In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
- 07 ☐ In an instructional area (e.g., classroom, school, library, conference room)
- 08 ☐ In a recreation area (e.g., yard, courtyard, gymnasium)
- 09 ☐ In a medical area (e.g., infirmary, health clinic)
- 10 ☐ In a staff area (office, break room, counselor's office)
- 11 ☐ Offsite or while in transit
- 12 ☐ Other - Specify
- 13 ☐ Location unknown

4. Did the incident take place in an area subject to video monitoring?

- 01 ☒ Yes
- 02 ☐ No
- 03 ☐ Don't know

5. What time did the incident occur?
(Mark (X)) all that apply.)

- 01 ☐ Morning (6 a.m. to noon)
- 02 ☒ Afternoon (noon to 6 p.m.)
- 03 ☒ Evening (6 p.m. to midnight)
- 04 ☐ Overnight (midnight to 6 a.m.)
- 05 ☐ Unknown

6. How many victims were involved in the incident?

Number of victims . . . 2

→ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's sex or gender identity? (See definitions on page 5.)

- 01 ☐ Male 03 ☐ Transgender
- 02 ☒ Female 04 ☐ Intersex

8. Victim #1: What was the victim's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18-19
- 02 ☒ 13-15 05 ☐ 20-24
- 03 ☐ 16-17 06 ☐ 25 or older

9. Victim #1: What was the victim's race/ethnic origin? (Mark (X)) all that apply.)

- 01 ☐ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☒ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system - Specify

10. Victim #2: What was the victim's sex or gender identity? (See definitions on page 5.)

- 01 ☐ Male 03 ☐ Transgender
- 02 ☒ Female 04 ☐ Intersex

11. Victim #2: What was the victim's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18-19
- 02 ☒ 13-15 05 ☐ 20-24
- 03 ☐ 16-17 06 ☐ 25 or older

Burden Statement

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12. Victim #2: What was the victim's race/ethnic origin?
(Mark (X)) all that apply.)

- 01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☒ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 ☐ Other racial category in your information system – Specify

13. Did the victim(s) sustain any physical injury during the incident?

- 02 ☒ No (No injury sustained)
01 ☐ Yes → **a. What injuries occurred?**
(Mark (X)) all that apply for all victims.)
01 ☐ Knife or stab wounds
02 ☐ Broken bones
03 ☐ Anal or vaginal tearing
04 ☐ Chipped or knocked out teeth
05 ☐ Internal injuries
06 ☐ Knocked unconscious
07 ☐ Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 ☐ Other – Specify

→ **b. Did the victim(s) receive medical treatment for these injuries?**

- 01 ☐ Yes
02 ☐ No

14. Who reported the incident?
(Mark (X)) all that apply.)

- 01 ☒ Victim
02 ☐ Another youth (non-victim)
03 ☐ Victim's family or friend
04 ☐ Correctional officer or front line staff
05 ☐ Administrative staff
06 ☐ Medical, healthcare, or mental health staff
07 ☐ Instructor, teacher, or counselor
08 ☐ Other staff (e.g., kitchen worker, maintenance staff)
09 ☐ Chaplain or other religious official
10 ☐ Perpetrator
11 ☐ Perpetrator's family or friend
12 ☐ Grievance coordinator, grievance process, or ombudsperson
13 ☐ Attorney or legal guardian (e.g., other than family member)
14 ☐ Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 ☐ Other – Specify

15. After the incident was reported, was the victim(s) – (Mark (X)) all that apply for all victims.)

- 01 ☐ Given a medical examination
02 ☐ Administered a rape kit
03 ☐ Tested for HIV/AIDS
04 ☐ Tested for other sexually transmitted diseases
05 ☒ Provided with counseling or mental health treatment
06 ☐ Offered but declined testing or treatment
07 ☐ Already released/discharged
08 ☒ None of the above

16. After the incident was reported, was the victim(s) – (Mark (X)) all that apply for all victims.)

- 01 ☐ Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 ☐ Placed in a medical unit, ward, or hospital
03 ☐ Confined to own cell or room
04 ☐ Given a higher custody level/different unit within the facility
05 ☐ Transferred to another facility
06 ☐ Transferred to another housing unit or dorm, or given a single room or cell
07 ☒ Separated from perpetrator
08 ☐ Issued disciplinary report or loss of privileges
09 ☐ Placed in camera room, under closer surveillance, or increased supervision
10 ☐ Other – Specify
11 ☐ None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)

- 01 ☐ Youth-on-youth nonconsensual sexual act
→ Complete Section A, below
02 ☐ Youth-on-youth abusive contact
→ Complete Section A, below
03 ☐ Youth-on-youth sexual harassment
→ Complete Section A, below
04 ☐ Staff sexual misconduct
→ Complete Section B on pages 4–5
05 ☒ Staff sexual harassment
→ Complete Section B on pages 4–5

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

→ If the perpetrator was a staff member, go to Section B on pages 4–5.

18. How many youth perpetrators were involved in the incident?

Number of youth perpetrators . . .

→ If more than two youth perpetrators were involved, report their characteristics in Notes on page 5.

19. Perpetrator #1: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- 01 ☐ Male 03 ☐ Transgender
02 ☐ Female 04 ☐ Intersex

20. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18-19
02 ☐ 13-15 05 ☐ 20-24
03 ☐ 16-17 06 ☐ 25 or older

21. Perpetrator #1: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

- 01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 ☐ Other racial category in your information system – Specify

22. Perpetrator #2: What was the youth perpetrator's sex or gender identity? (See definitions on page 5.)

- 01 ☐ Male 03 ☐ Transgender
02 ☐ Female 04 ☐ Intersex

23. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

- 01 ☐ Under age 13 04 ☐ 18-19
02 ☐ 13-15 05 ☐ 20-24
03 ☐ 16-17 06 ☐ 25 or older

24. Perpetrator #2: What was the youth perpetrator's race/ethnic origin? (Mark (X) all that apply.)

- 01 ☐ White (not of Hispanic origin)
02 ☐ Black (not of Hispanic origin)
03 ☐ Hispanic or Latino
04 ☐ American Indian/Alaska Native (not of Hispanic origin)
05 ☐ Asian (not of Hispanic origin)
06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
07 ☐ Other racial category in your information system – Specify

25. What was the nature of the incident? (Mark (X) all that apply.)

- 02 ☐ Sexual harassment
03 ☐ Indecent exposure, masturbation, or voyeurism
04 ☐ Horseplay
05 ☐ Repeated and unwelcome sexual advances or requests for sexual favors
06 ☐ Unwanted touching for sexual gratification or abusive sexual contact
07 ☐ Pressure or coercion (without force) resulting in a nonconsensual sexual act
08 ☐ Physical force (or the threat of force) resulting in a nonconsensual sexual act
09 ☐ Other – Specify

26. What type of pressure or physical force was used by the youth perpetrator on the victim? (Mark (X) all that apply for all perpetrators.)

- 01 ☐ Sexual harassment, sexual innuendo, or verbal comments
02 ☐ Persuasion or talked into sexual activity
03 ☐ Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
04 ☐ Bribery or blackmail
05 ☐ Gave victim drugs or alcohol
06 ☐ Offered protection from other youth
07 ☐ Threatened with physical harm
08 ☐ Physically held victim down or restrained in some way
09 ☐ Physically harmed or injured
10 ☐ Threatened with a weapon
11 ☐ Other – Specify

12 ☐ None

27. What sanction was imposed on the perpetrator(s)? (Mark (X) all that apply for all perpetrators.)

- 01 ☐ Placed in solitary confinement or disciplinary segregation
02 ☐ Confined to own cell or room
03 ☐ Placed in higher custody level, restricted unit or program, within the same facility
04 ☐ Transferred to other unit/cell or separated from victim
05 ☐ Transferred to another facility
06 ☐ Loss of "good/gain" time or increase in "bad" time/delayed release
07 ☐ Given extra work
08 ☐ Loss of privileges, disciplinary report or conduct violation, or other reprimand
09 ☐ Sent to counseling or treatment team
10 ☐ Arrested or referred to law enforcement agency
11 ☐ Referred for prosecution or indicted
12 ☐ Convicted, given new sentence, or fined
13 ☐ Other – Specify

Section B – STAFF-ON-YOUTH SEXUAL ABUSE

⑩ If the perpetrator was a youth, go to Section A on pages 2–3.

28. What was the nature of the incident?
(Mark (X) all that apply.)

- 01 ☐ Physical force resulting in a nonconsensual sexual act
- 02 ☐ Pressure or abuse of power resulting in a nonconsensual sexual act
- 03 ☐ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- 04 ☐ Unwanted touching for sexual gratification
- 05 ☒ Sexual harassment or repeated verbal statements of a sexual nature by staff
- 06 ☐ Wrote letters, showed pictures, or offered gifts or special privileges to youth
- 07 ☐ Sexual relationship between youth and staff that appeared to be willing
- 08 ☐ Other – Specify

09 ☐ Level of coercion unknown

29. How many staff were involved in the incident?

Number of staff . . . 1

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?

- 01 ☐ Male
- 02 ☒ Female

31. Staff #1: What was the age of the staff at the time of the incident?

- 01 ☐ 24 or younger
- 02 ☒ 25–29
- 03 ☐ 30–34
- 04 ☐ 35–39
- 05 ☐ 40–44
- 06 ☐ 45–54
- 07 ☐ 55 or older

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident?
(Mark (X) all that apply.)

- 01 ☒ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system – Specify

33. Staff #2: What was the gender of the staff?

- 01 ☐ Male
- 02 ☐ Female

34. Staff #2: What was the age of the staff at the time of the incident?

- 01 ☐ 24 or younger
- 02 ☐ 25–29
- 03 ☐ 30–34
- 04 ☐ 35–39
- 05 ☐ 40–44
- 06 ☐ 45–54
- 07 ☐ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident?
(Mark (X) all that apply.)

- 01 ☐ White (not of Hispanic origin)
- 02 ☐ Black (not of Hispanic origin)
- 03 ☐ Hispanic or Latino
- 04 ☐ American Indian/Alaska Native (not of Hispanic origin)
- 05 ☐ Asian (not of Hispanic origin)
- 06 ☐ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- 07 ☐ Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer?
(Mark (X) all that apply for all staff involved.)

- 01 ☒ Full or part time paid employee
- 02 ☐ Contract employee or vendor
- 03 ☐ Volunteer or intern
- 04 ☐ Other – Specify

37. What was the primary position description of the staff involved in the incident?
(Mark (X) all that apply for all staff involved.)

- 01 ☐ Administrator, including wardens, superintendents, assistants and others in administrative positions
- 02 ☒ Correctional officer or supervisory staff
- 03 ☐ Clerical staff including secretaries, clerks, receptionists, and other administrative support
- 04 ☐ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- 05 ☐ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- 06 ☐ Education staff, including instructors, teachers, librarians, and education assistants
- 07 ☐ Other program staff
- 08 ☐ Volunteers or Interns
- 09 ☐ Other staff – Specify

38. What sanction was imposed on the staff?

(Mark (X)) all that apply for all staff involved.)

- 01 ☐ Sent to training or counseling
- 02 ☐ Reprimanded or disciplined
- 03 ☐ Demoted, diminished responsibilities, or suspended temporarily
- 04 ☐ Transferred to another facility or unit
- 05 ☐ Arrested or referred to law enforcement agency
- 06 ☐ Referred for prosecution or indicted
- 07 ☐ Convicted, plead guilty, sentenced, or fined
- 08 ☒ Discharged, terminated, or contract not renewed
- 09 ☐ Staff resigned (prior to completion of investigation)
- 10 ☐ Staff resigned (after investigation was completed)
- 11 ☐ Other - Specify

- 12 ☐ No action taken

39. At the time of the incident, how long had the staff worked at the facility?

(Mark (X)) all that apply for all staff involved.)

- 01 ☐ Less than 6 months
- 02 ☐ 6 months to 1 year
- 03 ☒ 1 to 5 years
- 04 ☐ 5 to 10 years
- 05 ☐ More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus, including penetration, however slight; OR Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.